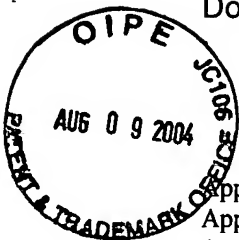


IFW

Docket: 009005



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: John D. Dobak III et al.
Application No.: 10/749,140
Date Filed: 12/29/2003
Title: METHOD OF MAKING SELECTIVE ORGAN COOLING CATHETER
Group Art Unit.: 3739
Atty. Docket No.: 009005

INFORMATION DISCLOSURE STATEMENT (IDS)

Mail Stop DD
Assistant Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SIR:

In accordance with 37 C.F.R. §1.56 and in compliance with 37 C.F.R. §§1.97 and 1.98, the references listed on attached Form PTO/SB/08 and/or subsequently identified herein, are being submitted herewith for consideration by the United States Patent and Trademark Office.

I. COPIES

- a. ☐ A legible copy of (i) each foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed, is included herewith.
- b. ☒ Any patents, publications or other information which are listed on PTO/SB/08 which are not enclosed herewith were previously cited by or submitted to the PTO in one of the following applications which has been relied upon for an earlier filing date under 35 U.S.C. §120:

U.S. Serial Number

U.S. Filing Date

09/836,585

04/16/2001

II. CONCISE EXPLANATION OF THE RELEVANCE (check at least one box)

- a. ☒ Except as may be indicated below in (b) of this section, all of the patents, publications or other information are in the English language (concise explanation not required).
- b. ☐ A concise explanation of the relevance of all patents, publications or other information listed that is not in the English language is as follows:
- c. ☐ The following additional information is provided for the Examiner's consideration:

III. ☐ CROSS REFERENCE TO RELATED APPLICATION(S)

The Examiner is advised that the following co-pending application(s) contain(s) subject matter that may be related to the present application. By bringing this (these) applications to the Examiner's attention, Applicant(s) does (do) not waive the confidentiality provisions of 35 U.S.C. §122.

Serial No.

Filing Date

Art Unit

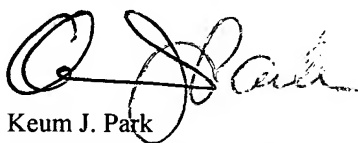
FEES

- IV. ☒ **THIS IDS IS BEING FILED UNDER 37 C.F.R. §1.97(b):** (check one box)
- a. ☐ within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d) (37 C.F.R. §1.97(b)(1)). No fee or statement is required.
 - b. ☐ within three months of the date of entry of the national stage as set forth in § 1.491 in an international application (37 C.F.R. §1.97(b)(2)). No fee or statement is required.
 - c. ☒ before the mailing date of a first Office Action on the merits (37 C.F.R. §1.97(b)(3)). No fee or statement is required.
 - d. ☐ before the mailing date of a first Office Action after the filing of a request for continued examination under § 1.114 (37 C.F.R. § 1.97(b)(4)). No fee or statement is required.
- V. ☐ **THIS IDS IS BEING FILED UNDER 37 C.F.R. §1.97(c):** (check one box)
before the mailing date of any of a Final Office Action under 37 C.F.R. §1.113, a Notice of Allowance under 37 C.F.R. §1.311, or an action that otherwise closes prosecution in the application (See 37 C.F.R. §1.97(c)).
- a. ☐ No statement; therefore, charge deposit account 50-1047 the fee set forth in 37 C.F.R. §1.17(p).
 - b. ☐ See the statement below. No fee is required.
- VI. ☐ **THIS IDS IS BEING FILED UNDER 37 C.F.R. §1.97(d):**
on or before payment of the issue fee and is accompanied by the following:
- 1) a statement under 37 C.F.R. §1.97(e) as provided below; and
 - 2) charge deposit account 50-1047 the petition fee set forth in §1.17(p).
- VII. ☐ **STATEMENT UNDER 37 C.F.R. §1.97(e)** (check only one box, if applicable)
The undersigned hereby states that
- a. ☐ each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of IDS; or
 - b. ☐ no item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application, and to knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the IDS was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this statement, or
 - c. ☐ some of the items of information contained in the IDS were cited in a communication from a foreign Patent Office. As to this information, the undersigned states that each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby states that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the IDS was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this statement.
- VIII. **PAYMENT OF FEES**
- ☐ A check in the amount of _____ is enclosed for the above-identified fee(s).
 - ☐ Please charge Deposit Account No. 50-1047 in the amount of \$180.00 for the above-indicated fee(s).
 - ☒ If Applicant has overlooked any additional fees, or if any overpayment has been made, the Commissioner is hereby authorized to credit or debit Deposit Account 50-1047.
 - ☐ Two Copies of this paper are attached for Deposit Account charges and debits.

It is Applicant(s)' opinion that the claims presently on file patently distinguish the present invention from each of these references. The above references are being cited only in the interests of candor and without any admission that they constitute statutory prior art or contain matter which anticipates the invention or which would render the same obvious, either singly or in a combination, to a person of ordinary skill in the art.

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 50-1047.

Respectfully submitted,



Keum J. Park
Attorney for Applicant(s)
Reg. No. 42,059
Tel.: 908-518-7700
FAX: 908-518-7795

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Enclosures:



PTO/SB/08
References; US patents omitted, as not required
Foreign Search Report
Other:

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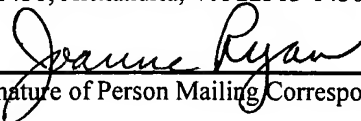
I certify that this document and fee is being deposited

8/4/04

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under 37 C.F.R. 1.8 and is addressed to Mail Stop DD, Commissioner

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Signature of Person Mailing Correspondence

Joanne Ryan

Typed or Printed Name of Person Mailing Certificate

Please type a plus sign (+) inside this box ☐

PTO/SB/O8A (10-96)

Approved for use through 10/31/99, OMB 0651-0031

Patent and Trademark Office: U.S./DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 21

Complete if Known

Application Number	10/749,140
Filing Date	December 29, 2003
First Named Inventor	John D. Dobak, III et al.
Group Art Unit	3739
Examiner Name	Unknown
Attorney Docket Number	009005

U.S. PATENT DOCUMENTS

Examiner Initials ¹	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	AA	2,308,484		Auzin, et al.	01/19/1943	
	AB	2,374,609		McCullum	04/24/1945	
	AC	2,615,686		Davidson	10/28/1982	
	AD	2,672,032		Towse	03/16/1954	
	AE	2,913,009		Kuthe	11/17/1959	
	AF	3,298,371		A.S.J. Lee	01/17/1967	
	AG	3,425,419		A.A. Dato	02/04/1969	
	AH	3,460,538		Armstrong	08/12/1969	
	AI	3,504,674		Swenson, et al.	04/07/1970	
	AJ	3,612,175		Ford et al.	07/01/1969	
	AK	3,865,116		Brooks	02/11/1975	
	AL	3,888,259		Miley	06/10/1975	
	AM	3,971,383		Van Gerven	07/27/1976	
	AN	4,038,519		Foucras	07/26/1977	
	AO	4,153,048		Magrini	05/18/1979	
	AP	4,190,033		Foti	02/26/1980	
	AQ	4,231,425		Engstrom	11/04/1980	
	AR	4,275,734		Mitchiner	06/30/1981	
	AS	4,298,006		Parks	11/03/1981	
	AT	4,318,722		Altman	03/09/1982	
	AU	4,427,009		Wells, et al.	01/24/1984	
	AV	4,445,500		Osterholm	05/01/1984	
	AW	4,483,341		Witteles	11/20/1984	
	AX	4,484,586		McMickle et al.	11/27/1984	
	AY	4,502,286		Okada, et al.	03/05/1985	
	AZ	4,569,355		Bitterly	02/11/1986	
	BA	4,581,017		Sahota	04/08/1986	
	BB	4,602,642		O'Hara et al.	07/29/1986	
	BC	4,655,746		Daniels, et al.	04/07/1987	
	BD	4,672,962		Hershenson	06/16/1987	
	BE	4,731,072		Aid	09/06/1994	
	BF	4,745,922		Taylor	05/24/1988	

Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹²Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO			Complete if Known		
			Application Number	10/749,140	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Filing Date	December 29, 2003	
			First Named Inventor	John D. Dobak, III et al.	
			Group Art Unit	3739	
			Examiner Name	Unknown	
			Attorney Docket Number	009005	
Sheet	2	of	21		

U.S. PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	BG	4,748,979		Hershenson	06/07/1988	
	BH	4,750,493		Brader	06/14/1988	
	BI	4,762,129		Bonzel	08/09/1988	
	BJ	4,762,130		Fogarty, et al.	08/09/1988	
	BK	4,817,624		Newbower	04/04/1989	
	BL	4,820,349		Saab	04/11/1989	
	BM	4,860,744		Johnson et al.	08/29/1989	
	BN	4,883,455		Leonard	11/18/1989	
	BO	4,894,164		Polaschegg	01/16/1990	
	BP	4,904,237		Janese	02/27/1990	
	BQ	4,920,963		Brader	05/01/1990	
	BR	4,951,677		Crowley, et al.	08/28/1990	
	BS	4,964,409		Tremulis	10/23/1990	
	BT	5,000,734		Boussignac et al.	03/19/1991	
	BU	5,002,531		Bonzel	03/26/1991	
	BV	5,014,695		Benak, et al.	05/14/1991	
	BW	5,018,521		Campbell	95/28/1991	
	BX	5,019,075		Spears, et al.	05/28/1991	
	BY	5,024,668		Peters et al.	06/18/1991	
	BZ	5,041,089		Mueller, et al.	08/20/1991	
	CA	5,046,497		Millar	09/10/1991	
	CB	5,078,713		Varney	01/07/1992	
	CC	5,089,260		Hunter et al.	02/18/1992	
	CD	5,092,841		Spears	03/03/1992	
	CE	5,106,360		Ishwara, et al.	04/21/1992	
	CF	5,108,390		Potocky et al.	04/28/1992	
	CG	5,110,721		Anaise, et al.	05/05/1992	
	CH	5,117,822		Laghi	06/02/1992	
	CI	5,147,355		Friedman et al.	09/15/1992	
	CJ	5,149,321		Klatz, et al.	09/22/1992	
	CK	5,150,706		Cox, et al.	09/29/1992	
	CL	5,151,100		Abele, et al.	09/29/1992	

Examiner Signature		Date Considered	
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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>			Complete if Known		
			Application Number	10/749,140	
			Filing Date	December 29, 2003	
			First Named Inventor	John D. Dobak, III et al.	
			Group Art Unit	3739	
			Examiner Name	Unknown	
Sheet	3	of	21	Attorney Docket Number	009005

U.S. PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	CM	5,190,539		Fletcher, et al.	03/02/1993	
	CN	5,191,883		Lennox, et al.	03/09/1993	
	CO	5,196,024		Barath	03/23/1993	
	CP	5,211,631		Sheaff	05/18/1993	
	CQ	5,234,405		Klatz, et al.	08/10/1993	
	CR	5,246,421		Saab	09/21/1993	
	CS	5,248,312		Langberg	09/28/1993	
	CT	5,250,070		Parodi	10/05/1993	
	CU	5,257,977		Eshel	11/02/1993	
	CV	5,264,260		Saab	11/23/1993	
	CW	5,267,341		Shearin	11/30/1993	
	CX	5,269,369		Faghri	12/14/1993	
	CY	5,269,749		Koturov	12/14/1993	
	CZ	5,269,758		Taheri	12/14/1993	
	DA	5,281,213		Milder et al.	01/25/1994	
	DB	5,281,215		Milder	01/25/1994	
	DC	5,306,261		Alliger et al.	04/26/1994	
	DD	5,310,440		Zingher	05/10/1994	
	DE	5,334,193		Nardella	08/02/1994	
	DF	5,342,301		Saab	08/30/1994	
	DG	5,342,621		Eury	08/30/1994	
	DH	5,344,436		Fontenot, et al.	09/06/1994	
	DI	5,344,740		Iwasawa et al.	09/06/1994	
	DJ	5,358,486		Saab	10/25/1994	
	DK	5,365,750		Greenthal	11/22/1994	
	DL	5,368,591		Lennox, et al.	11/29/1994	
	DM	5,383,854		Safar et al.	01/24/1995	
	DN	5,383,918		Panetta	01/24/1995	
	DO	5,395,314		Klatz, et al.	03/07/1995	
	DP	5,395,331		O'Neill, et al.	03/07/1995	
	DQ	5,403,281		O'Neill et al.	04/04/1995	
	DR	5,417,686		Peterson, et al.	05/23/1995	

Examiner Signature		Date Considered	
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⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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			Filing Date	December 29, 2003	
			First Named Inventor	John D. Dobak, III et al.	
			Group Art Unit	3739	
			Examiner Name	Unknown	
Sheet	4	of	21	Attorney Docket Number	009005

U.S. PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	DS	5,423,745		Todd, et al.	06/13/1995	
	DT	5,423,807		Milder	06/13/1995	
	DU	5,433,740		Yamaguchi	07/18/1995	
	DV	5,437,673		Baust, et al.	08/01/1995	
	DW	5,443,456		Alliger et al.	08/22/1995	
	DX	5,462,521		Brucker et al.	10/31/1995	
	DY	5,486,204		Clifton	01/23/1996	
	DZ	5,486,208		Ginsburg	01/23/1996	
	EA	5,496,271		Burton et al.	03/05/1996	
	EB	5,499,973		Saab	03/19/1996	
	EC	5,531,776		Ward et al.	07/02/1996	
	ED	5,545,708		Onwunaka et al.	08/13/1996	
	EE	5,558,644		Boyd, et al.	09/24/1996	
	EF	5,569,195		Saab	10/29/1996	
	EG	5,573,532		Chang, et al.	11/12/1996	
	EH	5,578,008		Hara	11/26/1996	
	EI	5,584,804		Klatz, et al.	12/17/1996	
	EJ	5,588,438		McKown et al.	12/31/1996	
	EK	5,591,162		Fletcher, et al.	01/07/1997	
	EL	5,620,480		Rudie	04/15/1997	
	EM	5,622,182		Jaffe	04/22/1997	
	EN	5,624,342		Younger	08/29/1997	
	EO	5,624,392		Saab	04/29/1997	
	EP	5,630,837		Crowley	05/20/1997	
	EQ	5,643,197		Brucker et al.	07/01/1997	
	ER	5,647,051		Neer	07/08/1997	
	ES	5,653,692		Masterson et al.	08/05/1997	
	ET	5,676,693		LaFontaine	10/14/1997	
	EU	5,709,654		Klatz et al.	01/20/1998	
	EV	5,713,941		Robins, et al.	02/03/1998	
	EW	5,716,386		Ward, et al.	02/10/1998	
	EX	5,733,318		Augustine	03/31/1998	

Examiner Signature		Date Considered	
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¹²Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Filing Date	December 29, 2003	
			First Named Inventor	John D. Dobak, III et al.	
			Group Art Unit	3739	
			Examiner Name	Unknown	
			Attorney Docket Number	009005	
Sheet	5	of	21		

U.S. PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	EY	5,735,809		Gorsuch	04/07/1998	
	EZ	5,797,878		Bleam	08/25/1998	
	FA	5,799,661		Boyd et al.	09/01/1998	
	FB	5,800,480		Augustine, et al.	09/01/1998	
	FC	5,800,483		Vought	09/01/1998	
	FD	5,800,486		Thome et al.	09/01/1998	
	FE	5,807,391		Wijkamp	09/15/1998	
	FF	5,820,593		Safar et al.	10/13/1998	
	FG	5,824,030		Yang, et al.	10/20/1998	
	FH	5,827,222		Klatz, et al.	10/27/1998	
	FI	5,827,237		Macoviak, et al.	10/27/1998	
	FJ	5,827,269		Saadat	10/27/1998	
	FK	5,833,671		Macoviak, et al.	11/10/1998	
	FL	5,837,003		Ginsburg	11/17/1998	
	FM	5,861,021		Thome et al.	01/19/1999	
	FN	5,868,735		Lafontaine	02/09/1999	
	FO	5,871,526		Gibbs, et al.	02/16/1999	
	FP	5,873,835		Hastings, et al.	02/23/1999	
	FQ	5,879,316		Safar et al.	03/09/1999	
	FR	5,879,329		Ginsburg	03/09/1999	
	FS	5,899,898		Arless et al.	05/04/1999	
	FT	5,899,899		Arless, et al.	05/04/1999	
	FU	5,902,268		Saab	05/11/1999	
	FV	5,906,588		Safar et al.	05/25/1999	
	FW	5,906,594		Scarfone et al.	05/25/1999	
	FX	5,906,636		Casscells, III et al.	05/25/1999	
	FY	5,910,104		Dobak, III et al.	06/08/1999	
	FZ	5,913,856		Chia et al.	06/22/1999	
	GA	5,913,885		Klatz, et al.	06/22/1999	
	GB	5,913,886		Soloman	06/22/1999	
	GC	5,916,242		Schwartz	06/29/1999	
	GD	5,957,963		Dobak, III	09.28.1999	

Examiner Signature		Date Considered	
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¹²Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

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			Application Number	10/749,140	
			Filing Date	December 29, 2003	
			First Named Inventor	John D. Dobak, III et al.	
			Group Art Unit	3739	
			Examiner Name	Unknown	
Sheet	6	of	21	Attorney Docket Number	009005

U.S. PATENT DOCUMENTS						
Examiner Initials [*]	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	GE	5,971,979		Joye et al.	10/26/1999	
	GF	5,989,238		Ginsburg	11/23/1999	
	GG	6,019,783		Philips et al.	02/01/2000	
	GH	6,022,336		Zadno-Azizi et al.	02/08/2000	
	GI	6,024,740		Lesh et al.	02/15/2000	
	GJ	6,033,383		Ginsburg	03/07/2000	
	GK	6,042,559		Dobak, III	03/28/2000	
	GL	6,051,019		Dobak, III	04/18,2000	
	GM	6,096,068		Dobak, III et al.	08/01/2000	
	GN	6,110,168		Ginsburg	08/29/2000	
	GO	6,126,684		Gobin et al.	10/03/2000	
	GP	6,146,411		Noda et al.	11/14/2000	
	GQ	6,149,670		Worthen et al.	11/21/2000	
	GR	6,149,673		Ginsburg	11/21/2000	
	GS	6,149,676		Ginsburg	11/21/2000	
	GT	6,149,677		Dobak, III	11/21/2000	
	GU	6,165,207		Balding et al.	12/26/2000	
	GV	6,194,899		Ishihara et al.	02/27/2001	
	GW	6,224,624		Lasheras et al.	05/01/2001	
	GX	6,231,594		Dae	05/15/2001	
	GY	6,231,595		Dobak, III	05/15/2001	
	GZ	6,235,048		Dobak, III	05/22/2001	
	HA	6,238,428		Werneth et al.	05/29/2001	
	HB	6,245,095		Dobak, III et al.	06/12/2001	
	HC	6,251,129		Dobak, III et al.	06/26/2001	
	HD	6,251,130		Dobak, III et al.	06/26/2001	
	HE	6,254,626		Dobak, III et al.	07/03/2001	
	HF	6,264,679		Keller et al.	07/24/2001	
	HH	6,277,143		Klatz et al.	08/21/2001	
	HI	6,287,326		Pecor	09/11/2001	
	HI	6,290,717		Philips	09/18/2001	
	HJ	6,306,161		Ginsburg	10/23/2001	

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			First Named Inventor	John D. Dobak, III et al.	
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Sheet	7	of	21	Attorney Docket Number	009005

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		Number	Kind Code ² (if known)			
	HK	Re. 33,911		Samson et al.	05/05/1992	
	HL	2001/0001830		Dobak, III et al.	05/24/2001	
	HM	2001/0001831		Dobak, III et al.	05/24/2001	
	HN	2001/0001832		Dobak, III et al.	05/24/2001	
	HO	2001/0002442		Dobak, III	03/31/2001	
	HP	2001/0005791		Ginsburg et al.	06/28/2001	
	HQ	2001/0007951		Dobak, III	07/12/2001	
	HR	2001/0008975		Dobak, III et al.	07/19/2001	
	HS	2001/0010011		Aliberto et al.	07/26/2001	
	HT	2001/0016763		Lasheras et al.	08/23/2001	
	HU	2001/0016764		Dobak, III	08/23/2001	
	HV	2001/0021865		Dobak, III et al.	09/13/2001	
	HW	2001/0021866		Dobak, III et al.	09/13/2001	

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		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	HX		0 664 990		Heart Rhythm Technologies, Inc.	11/19/1997		
	HY		2 447 406		Commissariat L'Energie Atomique, France	03/06/1980		
	HZ		EP0655225 A1		Cordis Europa N.V.	05/31/1993		
	IA		SU 806 029		Irkut Medical Inst.	02/23/1981		
	IB		WO 91/05528		Hranulab B.V.	05/02/1991		
	IC		WO 93/04727		American Medical Systems	03/18/1993		
	ID		WO 95/01814		Urologix, Inc.	01/19/1995		
	IE		WO 96/40347		Heartport, Inc.	12/19/1996		
	IF		WO 97/01374		Urologix, Inc.	01/16/1997		

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			Attorney Docket Number	009005
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		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	IG		WO 97/25011		Thermocorps, Inc.	07/17/1997		
	IH		WO 97/32818		Sci-Med Life Systems, Inc.	09/12/1997		
	II		WO 98/26831		Radiant Medical, Inc.	06/25/1998		
	IJ		WO 98/31312		Radiant Medical, Inc.	07/23/1998		
	IK		WO 98/49957		Regents of the University of California	11/12/1998		
	IL		WO 99/02096		Regents of the University of California	01/21/1999		
	IM		WO 99/37226		Del Mar Medical Technologies	07/29/1999		
	IN		WO 99/44519		Atrionix, Inc.	09/10/1999		
	IO		WO 99/48449		Del Mar Medical Technologies, Inc.	09/30/1999		
	IP		WO 99/56812		Atrionix, Inc.	11/11/1999		
	IQ		WO 99/66970		Del Mar Medical Technologies, Inc.	12/29/1999		
	IR		WO 99/66971		Del mar Medical Technologies, Inc.	12/29/1999		
	IS		WO 00/09054		Alsius, Inc.	02/24/2000		
	IT		WO 00/10494		Radiant Medical, Inc.	03/02/2000		
	IU		WO 00/38601		Alsius Corporation	07/06/2000		
	IV		WO 00/47145		Alsius, Inc.	08/17/2000		
	IW		WO 00/48670		Alsius Corporation	08/24/2000		
	IX		WO 00/51534		Alsius Corporation	09/08/2000		
	IY		WO 00/53135		Alsius Corporation	09/14/2000		
	IZ		WO 00/57823		Alsius Corporation	10/05/2000		
	JA		WO 00/62837		Alsius Corporation	10/26/2000		
	JB		WO 00/66053		Alsius Corporation	11/09/2000		
	JC		WO 00/72779		Alsius Corporation	12/07/2000		
	JD		WO 00/72787		Alsius Corporation	12/07/2000		
	JE		WO 01/03606		Alsius Corporation	01/18/2001		
	JF		WO 01/08580		Alsius Corporation	02/08/2001		
	JG		WO 01/10323		Radiant Medical, Inc.	02/15/2001		

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			Filing Date	December 29, 2003	
			First Named Inventor	John D. Dobak, III et al.	
			Group Art Unit	3739	
			Examiner Name	Unknown	
Sheet	9	of	21	Attorney Docket Number	009005

FOREIGN PATENT DOCUMENTS							
Examiner Initials ¹	Cite No. ¹	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁸
		Office ³	Number ⁴ (Kind Code ⁵ if known)				
	JH		WO 01/10365	Innercool Therapies, Inc.	02/15/2001		
	JI		WO 01/12061	Alsius Corporation	02/22/2001		
	JJ		WO 01/12122	Alsius Corporation	02/22/2001		
	JK		WO 01/13809	Radiant Medical, Inc.	03/01/2001		
	JL		WO 01/13837	Innercool Therapies, Inc.	03/01/2001		
	JM		WO 01/17471	Alsius Corporation	03/15/2001		
	JN		WO 01/19447	Alsius Corporation	03/22/2001		
	JO		WO 01/26590	Innercool Therapies, Inc.	04/19/2001		
	JP		WO 01/30413	Alsius Corporation	04/03/2001		
	JQ		WO 01/52781	Alsius Corporation	07/26/2001		
	JR		WO 01/56517	Alsius Corporation	08/09/2001		
	JS		WO 01/58397	Radiant Medical	08/15/2001		
	JT		WO 01/64145	Innercool Therapies, Inc.	09/07/2001		
	JW		WO 01/64146	Radiant Medical	09/07/2001		

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			Filing Date	December 29, 2003	
			First Named Inventor	John D. Dobak, III et al.	
			Group Art Unit	3739	
			Examiner Name	Unknown	
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OTHER PRIOR ART—NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	JX	Alfonsi, P., D. I. Sessler, B. Du Manoir, J-C. Levron, J-P. Le Moing, M. Chauvin, <i>The Effects of Meperidine and Sufentanil on the Shivering Threshold in Postoperative Patients</i> , Anesthesiology, July 1998, 89(1):43-48.	
	JY	Ambrus; <i>The Biphasic Nature and Temperature Dependence of the Activation of Human Plasminogen by Urokinase</i> ; 05/1979; pp. 339-347; Research Communications in Chemical Pathology and Pharmacology, Vol. 24, No. 2.	
	JZ	Benzinger, T.H.; <i>On Physical Heart Regulation and Sense of Temperature in Man</i> ; Naval Medical Research Institute; Physiology; Volume 45; pp. 645-659; (February 26, 1959)	
	KA	Bigelo; <i>Hypothermia, Its Possible Role in Cardiac Surgery</i> ; 11/1959; pp. 849-866; Annals of Surgery, Vol. 132, No. 5.	
	KB	Brengelmann, George L.; <i>Specialized Brain Cooling in Humans?</i> ; The FASEB Journal; Volume 7; pp. 1148-1153 (September 1993)	
	KC	Buggy, D., P. Higgins, C. Moran, F. O'Donovan, and M. McCarroll, <i>Clonidine at Induction Reduces Shivering after General Anaesthesia</i> , 1997, pp. 263-267, Can. J. Anaesth., Vol. 44, N. 3.	
	KD	Cabanac, M., <i>Selective Brain Cooling and Thermoregulatory Set-Point</i> , 1998, pp. 3-13, Journ. of Basic & Clinical Physiology & Pharmacology, Vol. 9, N. 1.	
	KE	Cabanac, M.; <i>Selective Brain Cooling in Humans: fancy or fact?</i> ; The FASEB Journal; Volume 7; pp. 1143-1147 (September 1993)	
	KF	Capogna, G. and D. Celleno, <i>I. V. Clonidine for Post-Extradural Shivering in Parturients: A Preliminary Study</i> , 1993, Brit. Journ. of Anaesth., Vol. 71.	
	KG	Cheatle; <i>Cryostripping the Long and Short Saphenous Veins</i> ; 01/1993; one page; Br. J. Surg., Vol. 80.	

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			Filing Date	December 29, 2003
			First Named Inventor	John D. Dobak, III et al.
			Group Art Unit	3739
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	KH	Cheng, C. , T. Matsukawa, D. I. Sessler, M. Ozaki, A. Kurz, B. Merrifield, L. Hank, and P. Olofsson, <i>Increasing Mean Skin Temperature Linearly Reduces the Core-Temperature Thresholds for Vasoconstriction and Shivering in Humans</i> , 05/1995, pp. 1160–1168, <i>Anesthesiology</i> , Vol. 82, N. 5.	
	KI	Colvett, K. T., A. F. Althausen, B. Bassil, N. M. Heney, F. V. McGovern, H. H. Young, II, D. S. Kaufman, A. L. Zietman, and W. U. Shipley, <i>Opportunities with Combined Modality Therapy for Selective Organ Preservation in Muscle-Invasive Bladder Cancer</i> , 1996, pp. 201–208, <i>Journ. of Surgical Oncology</i> , Vol. 63.	
	JU	Deklunder, G., M. Dauzat, J-L. Lecroart, J-J. Hauser, and Y. Houdas, "Influence of Ventilation of the Face on Thermoregulation in Man during Hyper- and Hypothermia," <i>Eur. J. Appl. Physiol.</i> , 1991, 62:342–348.	
	JV	Dexter; <i>Blood Warms as It Blows Retrograde from a Femoral Cannulation Site to the Carotid Artery During Cardiopulmonary Bypass</i> ; 11/1994; pp. 393-397; <i>Perfusion</i> , Vol. 9, No. 6.	
	JW	Gentilello, L. M., "Advances in the Management of Hypothermia," <i>Horizons in Trauma Surgery</i> , 75(2):243–256, April 1995	
	JX	Giesbrecht, G. G., M. S.. L. Goheen, C. E. Johnston, G. P. Kenny, G. K. Bristow, and J. S. Hayward, <i>Inhibition of Shivering Increases Core Temperature Afterdrop and Attenuates Rewarming in Hypothermic Humans</i> , 1997, 0161-7567:1630–1634, <i>The American Physiological Society</i> ,	
	JY	Gillinov; <i>Superior Cerebral Protection with Profound Hypothermia During Circulatory Arrest</i> ; 11/1992; pp.1432-1439; <i>Ann. Thorac. Surg.</i> , Vol. 55.	
	JZ	Giuffre, M., J. Finnie, D. A. Lynam, and D. Smith, <i>Rewarming Postoperative Patients: Lights, Blankets, or Forced Warm Air</i> , 12/1991, pp. 387–393, <i>Journ. of Post Anaesthesia Nursing</i> , Vol. 6, N. 6.	
	KA	Guffin, A., D. Girard, and J. A. Kaplan, <i>Shivering Following Cardiac Surgery: Hemodynamic Changes and Reversal</i> , 02/1987, pp. 24–28, <i>Journ. of Cardiothoracic Anesthesia</i> , Vol. 1, N. 1.	

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			Group Art Unit	3739
			Examiner Name	Unknown
			Attorney Docket Number	009005
Sheet	12	of	21	

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	KB	Haley, E. C. et al. "A Randomized Trial of Tirilazad Mesylate in Patients with Acute Stroke (RANTTAS)," <i>Stroke</i> , 27(9):1453-1458, 1996.	
	KC	Higazi; <i>The Effect of Ultrasonic Irradiation and Temperature on Fibrinolytic Activity in Vitro</i> ; 08/1992; p. 251-253; <i>Thrombosis Research</i> , Vol. 69, No. 2.	
	KD	Iaizzo, <i>Facial Warming Increases the Threshold for Shivering</i> , 1999; pp. 231-239, <i>Journ. of Neurosurgical Anesthesiology</i> , Vol. 11, No. 4.	
	KE	Imamaki; <i>Retrograde Cerebral Perfusion with Hypothermic Blood Provides Efficient Protection of the Brain</i> ; 07/1995; pp. 325-333; <i>Journal of Cardiac Surgery</i> , Vol. 10, No. 4, Part 1.	
	KF	Jolin; <i>Management of a Giant Intracranial Aneurysm Using Surface-Heparinized Extracorporeal Circulation and Controlled Deep Hypothermic Low Flow Perfusion</i> ; 08/1992; pp. 756-760; <i>Acta Anaesthesiologica Scandinavica</i> .	
	KG	Jos R.C. Jansen, Ph.D., et al. (1997) <i>Near continuous cardiac output by thermodilution</i> . <i>Journal of Clinical Monitoring</i> 13:233-239.	
	KH	Keegan, M. T. et al. <i>Shivering Complicating the Treatment of Neurologically Impaired Surgical and Intensive Care Unit Patients</i> , <i>Anesthesiology</i> , 91(3):874-876, September 1999,.	
	KI	Kimoto; <i>Open Heart Surgery under Direct Vision with the Aid of Brain-Cooling by Irrigation</i> ; 07/1955; pp.592-603; <i>Surgery</i> , vol. 39, No. 4.	
	KJ	Kurz, Martin, et al.; "Naloxone, Meperidine, and Shivering."; <i>Anesthesiology</i> , 79 (6):1193-1201; December 1993.	
	KK	Lennon, R. L., M. P. Hosking, M. A. Conover, and W. J. Perkins, <i>Evaluation of a Forced-Air System for Warming Hypothermic Postoperative Patients</i> , 1990, pp. 424-427, <i>Anesth. Analg.</i> , Vol. 70.	
	KL	Leslie, K., D. I. Sessler, A. R. Bjorksten, M. Ozaki, T. Matsukawa, and M. Schroeder, <i>Propofol Causes a Dose-Dependent Decrease in the Thermoregulatory Threshold for vasoconstriction but has Little Effect on Sweating</i> , 08/1994, pp. 353-360, Vol. 81, N. 2.	

Examiner Signature		Date Considered	
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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Complete if Known		
			Application Number	10/749,140	
			Filing Date	December 29, 2003	
			First Named Inventor	John D. Dobak, III et al.	
			Group Art Unit	3739	
			Examiner Name	Unknown	
Sheet	13	of	21	Attorney Docket Number	009005

OTHER PRIOR ART—NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	KM	Maas, C. <i>Intermittent Antegrade Selective Cerebral Perfusion during Circulatory Arrest for Repair of Aortic Arch. Perfusion</i> , Vol. 12, No. 2, pp. 127–132, 1997.	
	KN	Marekovic, Z.; <i>Abstract of Renal Hypothermia in Situ by Venous Passages: Experimental Work on Dogs</i> ; 1980; Eur Urol 6(2); 1 page.	
	KO	Matsukawa, T., A. Kurz, D. I. Sessler, A. R. Bjorksten, B. Merrifield, and C. Cheng, <i>Propofol Linearly Reduces the Vasoconstriction and Shivering Thresholds</i> , 05/1995, pp. 1169–1180, <i>Anesthesiology</i> , Vol. 82, N. 5.	
	KP	Meden, P., K. Overgaard, H. Pedersen, G. Boysen, <i>Effect of Hypothermia and Delayed Thrombolysis in a Rat Embolic Stroke Model</i> , 1994, pp. 91–98, <i>Acta Neurol. Scand.</i> Vol. 90.	
	KQ	Meden; <i>Effect of Hypothermia and Delayed Thrombolysis in a Rat Embolic Stroke Model</i> ; 12/1993; pp. 91-98; <i>Acta Neurologica Scandinavica</i> .	
	KR	Meden; <i>The Influence of Body Temperature on Infarct Volume and Thrombolytic Therapy in a Rat Embolic Stroke Model</i> ; 02/1994; pp. 131-138; <i>Brain Research</i> , Vol. 647.	
	KS	Milleret, Rene; <i>La cryo-chirurgie danes les varices des mimbres inferieurs</i> ; <i>Angiologie</i> ; Supplement au no. 110.	
	KT	Milleret; <i>Abstract of Cryosclerosis of the Saphenous Veins in Varicose Reflux in the Obese and Elderly</i> ; 10.1981; one page; <i>Phlebologie</i> , Vol. 34, No. 4.	
	KU	Pais, S. O., K. D. Tobin, C. B. Austin, and L. Queral, <i>Percutaneous Insertion of the Greenfield Inferior Vena Cava Filter: Experience with Ninety-Six Patients</i> , 10/1988, pp. 460–464, <i>Journ. of Vascular Surg.</i> , Vol. 8, N. 4.	
	KV	Parkins; <i>Brain Cooling in the Prevention of Brain Damage During Periods of Circulatory Occlusion in Dogs</i> ; 04/1954; pp.284-289; <i>Annals of Surgery</i> , Vol. 140, No. 3.	

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	KW	Patton, J. H, T. C. Fabian, M. A. Croce, G. Minard, F. E. Pritchard, and K. A. Kudsk, <i>Prophylactic Greenfield Filters: Acute Complications and Long-Term Follow-Up</i> , 08/1996; pp. 231–237; Journ. of Trauma: Injury, Infection, and Critical Care, Vol. 41, N.2.	
	KX	Piepgas; <i>Rapid Active Internal Core Cooling for Induction of Moderate Hypothermia in Head Injury by Use of an Extracorporeal Heat Exchanger</i> , 02/1998; pp. 311-318; Neurosurgery, Vol. 42, No. 2.	
	KY	Rijken; <i>Plasminogen Activation at Low Temperatures in Plasma Samples Containing Therapeutic Concentrations of Tissue-Type Plasminogen Activator or Other Thrombolytic Agents</i> ; 10/1989; pp.47-52; place of publication unknown.	
	KZ	Rohrer, M. J. and A. M. Natale, <i>Effect of Hypothermia on the Coagulation Cascade</i> , 10/1992, pp. 1402–1405, Critical Care Medicine, Vol. 20, N. 10.	
	LA	Schmid-Elsaesser, R. et al. (1999), <i>Combination Drug Therapy and Mild Hypothermia: A Promising Treatment Strategy for Reversible, Focal Cerebral Ischemia</i> , Stroke, 1891–1899, June.	
	LB	Schwartz, A.E. et al.; (1996); <i>Isolated cerebral hypothermia by single carotid artery perfusion of extracorporeally cooled blood in baboons</i> ; Neurosurgery 39(3):577-582.	
	LC	Schwartz; <i>Cerebral Blood Flow during Low-flow Hypothermic Cardiopulmonary Bypass in Baboons</i> ; 06/1994; pp. 959-964; Anesthesiology, Vol. 81, No.4.	
	LD	Schwartz; <i>Selective Cerebral Hypothermia by Means of Transfemoral Internal Carotid Artery Catheterization</i> ; 05/1996; pp.571-572; Radiology, Vol. 201, No. 2.	
	LE	Sessler, Daniel I.; "Mild Perioperative Hypothermia"; The New England Journal of Medicine; 336:1730–1737; June 12, 1997.	
	LF	Sharkey, A., J. M. Lipton, M. T. Murphy, and A. H. Giesecke, <i>Inhibition of Postanesthetic Shivering with Radiant Heat</i> , 02/1987, pp. 249–252, Anesthesiology, Vol. 66, N. 2.	

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	LG	Shiraki, K., N. Konda, and S. Sagawa, "Esophageal and Tympanic Temperature Responses to Core Blood Temperature Changes during Hyperthermia, <i>J. Appl. Physiol.</i> 61(1):98-102 (1986).	
	LH	Simon, M., C. A. Athanasoulis, D. Kim, F. L. Steinberg, D. H Porter, B. H. Byse, S. Kleshinski, S. Geller, D. E. Orron, and A. C. Waltman; <i>Simon Nitinol Inferior Vena Cava Filter: Initial Clinical Experience</i> , 07/1989, pp. 99-103; Radiology.	
	LI	Steen; <i>The Detrimental Effects of Prolonged Hypothermia and Rewarming in the Dog</i> ; 08/1979 ;pp. 224-230; Anesthesiology, Vol. 52, No. 3.	
	LJ	Vandam; <i>Hypothermia</i> ; 09/1959; pp.546-553; The New England Journal of Medicine.	
	LK	Villamaria, F. J., C. E. Baisden, A. Hillis, M. H. Rajab, and P. A. Rinaldi, "Forced-Air Warming is No More Effective than Conventional Methods for Raising Postoperative Core Temperature After Cardiac Surgery," <i>Journ. Cardiothoracic and Vascular Anesth.</i> , 11(6):708-711, October 1997.	
	LL	White; <i>Cerebral Hypothermia and Circulatory Arrest</i> ; 07/1978; pp.450-458; Mayo Clinic Proceedings, Vol. 53.	
	LM	Yenari; <i>Thrombolysis with Tissue Plasminogen Activator (TPA) is Temperature Dependent</i> ; 07/1994; pp.475-481; Thrombosis Research, vol. 77, No.5.	
	KN	Yoshihara; <i>Changes in Coagulation and Fibrinolysis Occurring in Dogs during Hypothermia</i> ; August 1984; pp.503-512; Thrombosis Research, Vol. 37, No. 4.	
	LO	Zarins; <i>Circulation in Profound Hypothermia</i> ; November 1972; pp. 97-104; Journal of Surgical Research, Vol. 14, N. 2.	
	LP	Zweifler, R. M. and D. I. Sessler, "Thermoregulatory Vasoconstriction and Shivering Impede Therapeutic Hypothermia in Acute Ischemic Stroke Patients," <i>Journ. Stroke and Cerebrovascular Diseases</i> , 6(2):100-104, 1996.	

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			Group Art Unit	3739	
			Examiner Name	Unknown	
			Attorney Docket Number	009005	
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U.S. PATENT DOCUMENTS						
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		Number	Kind Code ² (if known)			
	LQ	5,549,559		Eshel	8/27/1996	
	LR	3,125,096		Antiles et al.	07/07/1961	
	LS	4,216,767		Aoshiro	08/12/1980	
	LT	4,241,729		Aoshiro	12/30/1980	
	LU	4,323,071		Simpson et al.	04/06/1982	
	LV	4,497,890		Helbert	02/05/1985	
	LW	4,739,492		Cochran	04/19/1988	
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	MC	5,322,514		Steube et al.	06/21/1994	
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	MG	5,326,166		Walthall et al.	07/05/1994	
	MH	5,330,435		Vaillancourt	07/19/1994	
	MI	5,330,519		Mason et al.	07/19/1994	
	MJ	5,354,272		Swendson et al.	10/11/1994	
	MK	5,364,364		Kasvikis et al.	11/15/1994	
	ML	5,554,119		Harrison et al.	09/10/1996	
	MM	5,800,516		Fine et al.	09/01/1998	
	MN	5,891,094		Masterson et al.	04/06/1999	
	MO	5,957,917		Doiron et al.	09/28/1999	
	MP	5,964,751		Amplatz et al.	10/12/1999	
	MQ	6,261,312		Dobak, III et al.	07/17/2001	
	MR	6,295,990		Lewis et al.	10/02/2001	
	MS	6,312,452		Dobak, III et al.	11/06/2001	
	MT	6,315,754		Daoud et al.	11/13/2001	
	MU	6,319,248		Nahon	11/20/2001	
	MV	6,336,911		Westerbeck	01/08/2002	

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		Number	Kind Code ² (if known)			
	MW	20010011184		Dobak, III et al.	08/02/2001	
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	MZ	20010029394		Dobak, III et al.	10/11/2001	
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	NB	20020002394		Dobak, III	01/03/2002	
	NC	20020007179		Dobak, III et al.	01/17/2002	
	ND	20020007202		Dobak, III et al.	01/17/2002	
	NE	20020007203		Gilmartin et al.	01/17/2002	
	NF	20020032474		Dobak, III et al.	03/14/2002	
	NG	1,011,606		Fulton	12/12/1911	
	NH	2,148,541		Dierker	02/28/1939	
	NI	2,466,042		Reich	04/05/1949	
	NJ	3,604,419		Diskin et al.	09/19/1968	
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	NN	4,731,072		Aid	03/15/1988	
	NO	4,747,826		Sassano	05/31/1988	
	NP	4,781,799		Herbert, Jr. et al.,	11/01/1988	
	NQ	5,106,368		Uldall et al.	04/21/1992	
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	NS	5,236,908		Gruber et al.	08/17/1993	
	NT	5,496,311		Abele et al.	03/05/1996	
	NU	5,520,682		Baust et al.	05/28/1996	
	NV	5,536,247		Thornton	07/16/1996	
	NW	5,733,319		Neilson et al.	03/31/1998	
	NX	5,800,488		Crockett	09/01/1998	
	NY	5,800,493		Stevens et al.	04/26/1995	
	NZ	5,833,673		Ockuly et al.	11/10/1998	
	OA	5,834,465		Olney	11/10/1998	
	OB	5,967,976		Larsen et al.	10/19/1999	

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	OC	5,968,009		Simán	10/19/1999	
	OD	6,007,692		Herbert et al.	12/28/1999	
	OE	6,011,995		Guglielmi et al.	01/04/2000	
	OF	6,063,101		Jacobsen et al.	05/16/2000	
	OG	6,146,814		Millet	11/14/2000	
	OH	6,182,666		Dobak, III	02/06/2001	
	OI	6,206,004		Schmidt et al.	03/27/2001	
	OJ	6,364,899		Dobak, III	04/02/2002	
	OK	6,428,563		Keller	08/06/2002	
	OL	20010041923		Dobak, III	11/15/2001	
	OM	20020040717		Dobak, III	04/11/2002	
	ON	20020045852		Saab	04/18/2002	
	OO	20020045892		Kramer	04/18/2002	
	OP	20020068964		Dobak, III	06/06/2002	
	OQ	20020091378		Dobak, III et al.	07/11/2002	
	OR	20020091429		Dobak, III et al.	07/11/2002	
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	OU	20020099427		Dobak, III	07/25/2002	
	OV	20020103519		Dobak, III et al.	08/01/2002	
	OW	3,087,493		Schossow	04/27/1960	
	OX	3,228,400		Armao	01/11/1966	
	OY	4,111,209		Wolvek, et al.	09/05/1978	
	OZ	4,391,029		Czuba, et al.	07/05/1983	
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	PB	4,559,043		Whitehouse, et al.	12/17/1985	
	PC	4,660,803		Johnston, et al.	04/28/1987	
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	PE	4,715,380		Harris	12/29/1987	
	PF	4,752,292		Lopez, et al.	06/21/1988	
	PG	4,806,182		Rydell, et al.	02/21/1989	
	PH	4,863,442		DeMello, et al.	09/05/1989	
	PI	4,923,228		Laipply	05/08/1990	
	PJ	4,945,621		Sugiyama	08/07/1990	

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	PL	4,998,927		Vaillancourt	03/12/1991	
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Substitute for form 1449B/PTO			Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Application Number	10/749,140
			Filing Date	December 29, 2003
			First Named Inventor	John D. Dobak, III et al.
			Group Art Unit	3739
			Examiner Name	Unknown
			Attorney Docket Number	009005
Sheet	20	of	21	

FOREIGN PATENT DOCUMENTS							
Examiner Initials ¹	Cite No. ¹	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear	T ²
		Office ³	Number ⁴				
	Q N	AU	AU199716917 B2	Radiant Medical, Inc.	03/15/2001		
	Q O	EP	EP 0 428 505 B2	Gambro Lundia AB	03/14/2001		
	QP	EP	EP 1172932 A2	First Circle Medical	07/19/2001		
	Q Q	WO	WO 97/32518	Scimed Life Systems	09/12/1997		
	Q R	AU	AU 734506 C	Innercool Therapies, Inc.	11/08/2001		
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	QT	AU	AU 743945 B2	Radiant Medical, Inc.	02/07/2002		
	Q U	WO	WO 98/19529	21 st Century Medicine	05/14/1998		
	QV	WO	WO 99/05996	Vasu	02/11/1999		

		OTHER PRIOR ART—NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	QW	Anon, "Automatic feedback instrumentation for hospital room utilizing microsensors," <i>IBM Technical Disclosure Bulletin (abs.)</i> , 29(3): 1 page, August 1986.	
	QX	Carrol et al. "A comparison of measurements from a temporal artery thermometer and a pulmonary artery thermistor—preliminary results," Fax correspondence dated October 19, 2001.	
	QY	DeFord et al. "Design and evaluation of closed-loop feedback control of minimum temperatures in human intracranial tumours treated with interstitial hyperthermia," <i>Med. & Biol. Eng. & Comput.</i> 29:197–206, March 1991.	
	QZ	Kogaku "Sensor technology to control artificial organs," <i>KLA</i> , 22(4):295–300, August 1984 (in Japanese).	
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			Application Number	10/749,140	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Filing Date	December 29, 2003	
			First Named Inventor	John D. Dobak, III et al.	
			Group Art Unit	3739	
			Examiner Name	Unknown	
			Attorney Docket Number	009005	
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OTHER PRIOR ART—NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	RB	Olshausen et al. "An isothermal flowmeter with improved frequency response for measuring tissue blood flow," <i>Pflügers Arch.</i> 367:97-102, 1976.	
	RC	Weale, F.E.; "The Aneroid Manometer in Peripheral Arterial Surgery; The British Journal of Surgery; Vol. 56, No. 8, Pages 557-560 (August 1969).	
	RD	White, Robert J., et al. ; "Profound Selective Cooling and Ischemia of Primate Brain without Pump or Oxygenator"; Surgery, Vol. 66, No. 1, pp. 224-232 (July 1969).	
	RE	Fedor, E.J., et al. ; "Rewarming Following Hypothermia of Two to Twelve Hours"; Annals of Surgery; Vol. 147, No. 4, pp. 515-530 (April 1958).	
	RF	Bristow, Gerald K., et al.; "Contribution of Exercise and Shivering to Recovery from Induced Hypothermia (31.2C) in One Subject"; Aviation, Space, and Environmental Medicine"; pp 549-552 (June 1988).	
	RG	Jessen, K.; "An Assessment of Human Regulatory Nonshivering Thermogenesis"; Acta Anaesth. Scand.; Vol. 24, pp. 138-143 (1980).	
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	RJ	Lewis, Michael C.; "Hypothermia"; Anesthesiology Online Journal; available at http://www.anesthesiologyonline.com/articles/onepage.cfm?chapter_id=13&journal=1 (Released July 1998).	

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